



06-09-05

DAC *TMW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/823,435

Applicant: GIROUX et al.

Filed: April 12, 2004

Art Unit: 1615

Examiner: Unknown

Title: MEDICAL DEVICES EMPLOYING POLYMERS  
OF SPECIFIC CHARACTERISTICS AND THEIR  
USES

Docket No.: 04271500

Customer No.: 26565

CERTIFICATE OF MAILING BY  
"EXPRESS MAIL"

"Express Mail" mailing label number  
EV548615925 US

Date of Deposit: June 7, 2005

I hereby certify that this paper or fee is being  
deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" under 37  
CFR 1.10 on the date indicated above and is  
addressed to COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-1450

typed or printed name of person mailing paper or  
fee: Tim Hubalik

Signature

Mail Stop PETITIONS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Dear Sir:

Enclosed herewith are the following for the above-captioned application:

1. Renewed Petition Under 37 C.F.R. 1.78(A)(3) To Accept An Unintentionally Delayed Claim Under 35 U.S.C. 120, 121, Or 365(C) For The Benefit Of A Filed Prior Copending Application;
2. Copy of Decision on Petition dated January 27, 2005;
3. Preliminary Amendment A;
4. Supplemental Application Data Sheet;
5. Copy of Petition Under 37 C.F.R. 1.78(A)(3) filed on November 18, 2004; and
6. Return receipt postcard.

Respectfully submitted,



Joseph A. Mahoney  
Reg. No. 38,956

Date: June 7, 2005

**CUSTOMER NO. 26565**  
**MAYER, BROWN, ROWE & MAW LLP**  
P.O. Box 2828  
Chicago, Illinois 60690-2828  
Telephone: (312) 701-8979  
Facsimile: (312) 706-9000



UNITED STATES PATENT AND TRADEMARK OFFICE



04271500  
Transf.  
Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

MAYER, BROWN, ROWE & MAW, LLP  
190 SOUTH LASALLE STREET  
CHICAGO, IL 60603-3441

**COPY MAILED**

JAN 27 2005

**OFFICE OF PETITIONS**

In re Application of  
Giroux et al.  
Application No. 10/823,435  
Filed: April 12, 2004  
Attorney Docket No. 04271500

DECISION ON PETITION  
UNDER 37 CFR 1.78(a)(3)

This is a decision on the petition under 37 CFR 1.78(a)(3), filed November 18, 2004, to accept an unintentionally delayed claim under 35 U.S.C. §120 for the benefit of prior-filed nonprovisional Application No. 10/716,577, filed November 18, 2003.

The petition is **DISMISSED**.

A petition for acceptance of a claim for late priority under 37 CFR 1.78(a)(3) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR 1.78(a)(2)(ii). In addition, the petition under 37 CFR 1.78(a)(3) must be accompanied by:

- (1) the reference required by 35 U.S.C. § 120 and 37 CFR 1.78(a)(2)(i) of the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in § 1.17(t); and
- (3) a statement that the entire delay between the date the claim was due under 37 CFR 1.78(a)(2)(ii) and the date the claim was filed was unintentional. The Commissioner may require additional information where there is a question whether the delay was unintentional.

37 CFR 1.78(a)(2)(i) requires that any nonprovisional application claiming the benefit of one or more prior-filed copending nonprovisional applications must contain or be amended to contain a reference to each such prior-filed application, identifying it by application number (consisting of the series code and serial number) and indicating the relationship of the applications. The relationship between the applications is whether the subject application is a continuation, divisional, or continuation-in-part of a prior-filed nonprovisional application. An example of a proper benefit claim is: "This application is a continuation of Application No. 10/---, filed---." A benefit claim that merely states: "This application claims the benefit of Application No. 10/---, filed---," does not comply with 37 CFR 1.72(a)(2)(i) since the proper relationship, which includes the type of continuing application, is not stated. Also, the status of each nonprovisional parent application (if it is patented or abandoned) should also be indicated, following the filing date of the parent nonprovisional application. See Manual of Patent Examining Procedure, 8th ed., August 2001), Section 201.11, Reference to First Application. The petition filed November 18, 2004 fails to state the relationship of Application No. 10/716,577, filed November 18, 2003, to the instant application.

It is also unclear whether or not the petitioner wishes to claim benefit of PCT Application No. PCT/US03/36925. Although the box was left unchecked on the instant petition, a declaration filed on

**RECEIVED**  
FEB 1 2005  
MAYER, BROWN, ROWE &  
MARKETING  
ENTD.

November 29, 2004, includes a reference to the above-noted PCT application, which was also lacking a relationship statement to the instant application.

Further, the amendment submitted concurrently with the instant petition as drafted is unacceptable and, therefore, is not considered a proper reference under 37 CFR 1.78(a)(2)(i). In this regard, the amendment is physically part of the instant petition and, as such, does not comply with 37 CFR 1.121, 1.52, or 1.4(c). Note that 37 CFR 1.121 states that amendments are made by filing a paper, in compliance with § 1.52, directing that specified amendments be made. The pertinent section of 37 CFR 1.52 states that the claim, (in this case, the claim for priority), must commence on a separate physical sheet. 37 CFR 1.4(c) states that each distinct submit must be contained in a separate paper since different matters may be considered by different branches of the United States Patent and Trademark Office.

In view of the above, the amendment to add a priority claim to the first line of the specification, which is physically embedded in the instant petition, is not acceptable.

Accordingly, before the petition under 37 CFR § 1.78(a)(3) can be granted, a renewed petition under 37 CFR § 1.78(a)(3) and a substitute amendment<sup>1</sup> in compliance with the aforementioned rules and stating the relationship of the prior-filed application(s) to the instant application is required.

Further correspondence with respect to this matter should be addressed as follows:

By mail: Mail Stop PETITIONS  
Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

By hand: Customer Window located at:  
U.S. Patent and Trademark Office  
220 20<sup>th</sup> Street S  
Customer Window, Mail Stop Petitions  
Crystal Plaza Two Lobby, Room 1B03  
Arlington, VA 22202

By fax: (703) 872-9306  
ATTN: Office of Petitions

Any questions concerning this matter may be directed Paralegal Liana Chase at (571) 272-3206.

*Frances Hicks*  
Frances Hicks  
Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

---

<sup>1</sup> Note 37 CFR 1.121



UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/823,435

Applicant: UHRICH et al.

Filed: April 12, 2004

Art Unit: 1615

Examiner: Unknonwn

Title: MEDICAL DEVICES EMPLOYING  
POLYMERS OF SPECIFIC  
CHARACTERISTICS AND THEIR  
USES

Docket No.: 04271500

Customer No.: 26565

CERTIFICATE OF MAILING BY  
"EXPRESS MAIL"

"Express Mail" mailing label number  
EV548616325 US

Date of Deposit: November 18, 2004  
I hereby certify that this paper or fee is being  
deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" under 37  
CFR 1.10 on the date indicated above and is  
addressed to Mail Stop: COMMISSIONER FOR  
PATENTS, P.O. BOX 1450, ALEXANDRIA, VA  
22313-1450

typed or printed name of person mailing paper or  
fee:

  
Tim Hubalik

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION UNDER 37 C.F.R. 1.78(A)(3) TO ACCEPT AN UNINTENTIONALLY  
DELAYED CLAIM UNDER 35 U.S.C. 120, 121, OR 365(C) FOR THE BENEFIT OF A  
FILED PRIOR COPENDING APPLICATION**

Dear Sir:

Applicants hereby petition, in accordance with § 1.78(a)(3), to claim the benefit, for this application under 35 U.S.C.  120  121  365(c),

of prior copending nonprovisional application no.: 10/716,577

    filing date: November 18, 2003

of international application designating the U.S. no.: PCT/US03/36925

    international filing date: November 18, 2003

Applicants state that the entire delay between the date the claim for the benefit of the earlier application was due under paragraph (a)(2)(ii) of 37 C.F.R. § 1.78 and the date this claim is filed was unintentional.

The surcharge fee set forth in § 1.17(t) required by 37 C.F.R. 1.55(c)(1), is paid as follows:

- A check in the amount of \$1,370.00 is attached.
- Authorization is hereby made to charge any additional fees required by this paper or credit any overpayment o Deposit Account No. 13-0019.

Respectfully submitted,

  
\_\_\_\_\_  
David B. Fournier  
Reg. No. 51,696

Date: November 18, 2004

**CUSTOMER NO. 26565**  
**MAYER, BROWN, ROWE & MAW LLP**  
P.O. Box 2828  
Chicago, Illinois 60690-2828  
Telephone: (312) 701-8034  
Facsimile: (312) 706-9000

MAYER, BROWN, ROWE & MAW LLP

190 SOUTH LA SALLE STREET

CHICAGO, ILLINOIS 60603

Nº 80813

10-2328  
719

PAY

BANK OF AMERICA, ILLINOIS

CHECK NO.

DATE

AMOUNT

TO

THE

ORDER

OF

MAVER, BROWN, ROWE & MAW LLP

NP

*Mr. Steven M. Mayer  
and several of his  
Associates*

*Commission for Boston  
09271500*

080813 0719232840 876566633500

REMITTANCE ADVICE

ATTACHED IS OUR CHECK IN FULL SETTLEMENT OF ITEMS SHOWN HEREON.

IF NOT CORRECT, PLEASE RETURN WITH EXPLANATION.

DATE	DESCRIPTION	AMOUNT OF INVOICE	NET
------	-------------	----------------------	-----

11-18-04	80813 Add'l Prost. Chm 04271500	1370-	1370-
----------	------------------------------------	-------	-------

DETACH BEFORE DEPOSITING

MAYER, BROWN, ROWE & MAW LLP  
CHICAGO, ILLINOIS 60603



Attorney: JAMMATB/DBF  
Client: Polymerix Corporation

PATENT  
Attorney Docket: 04271500

Application No. : 10/823435  
Filed: April 12, 2004  
Applicant: UHRICH et al.  
Title: MEDICAL DEVICES EMPOLYING POLYMERS OF SPECIFIC CHARACTERISTICS AND THEIR USES

Enclosed: • Petition Under 37 CFR 1.78(a)(3) to Accept an Unintentionally Delayed Claim Under 35 USC 120, 121, or 365(c) For the Benefit of a Filed Prior Copending Application:

- \$1,370.00 check; and
- Return receipt postcard.

EXPRESS MAIL NO. EV548616325US

MAILING DATE: November 18, 2004

EV548616325US

